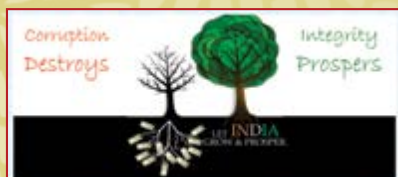


# VIGILANCE UNIT, CPWD

## SATARKTA NIRMAN PRAKASH

2017 / Vol-III



*CPWD Builder of Nation.  
Towards it's Destination with Determination and Dedication.*



# From CVO's Desk

## Dear Readers,

It gives me immense pleasure to place in your hands the third issue of "Satarkta Nirman Prakash" the in-house Newsletter of the Vigilance Unit of CPWD. This newsletter captures the momentous moments of the Vigilance Unit's journey. As I look back over the past year, I am awestruck at how far we have come over our 3 issues and I owe this all to the hard work and dedication of the officers and staff of the Vigilance Unit. A plethora of new and innovative activities have been initiated by the Vigilance Unit and the performance milestones detailed in this issue vouch for these activities. The manifold increase in the activities of the Vigilance Unit is also reflected in the size of the Newsletter which has grown from four pages of the first issue to 24 pages of the present issue.

Vigilance Awareness Week has been observed during this period on a very large scale throughout the organisation. The Central Vigilance Commission has decided this year's theme for this program as "Public participation in promoting Integrity and eradicating Corruption". In order to disseminate the message across various stake holders and wide sections of the society various modes of communication strategies have been planned. This issue extensively covers the various activities that were undertaken during this awareness week in furtherance of the main theme. Integrity is the basic tenet of character. The vigilance activities focus on building the character and by inculcating good moral and ethical values, corruption can be eradicated from the society.

This issue also gives details about the important activities initiated by the Vigilance Unit to promote integrity and transparency in the organisation. The focus of the Vigilance is not to find faults with the employees but to study the grey areas to identify the weaknesses and gaps in the systems/



working processes and to streamline them. The various systemic improvement recommendations suggested by the Vigilance Unit is a big step in bringing permanent improvements. Vigilance should not be seen as a deterrent to decision making but rather as an effective management tool in bringing about greater efficiency and productivity in the organisation.

Some important case studies are covered in this issue which provide real life situations to employees and make them understand the pitfalls and danger areas when shortcuts are taken or CPWD Works Manual provisions are violated so that they stay in the right course and take the right kind of decisions. One of the main aims of this Newsletter is to spread awareness about Vigilance and also to enhance the knowledge levels. Case studies provide a perfect platform to exchange ideas and deliberate on issues to reach practical solutions.

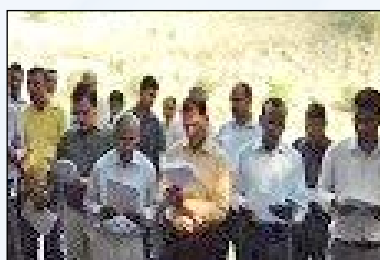
Before signing off, I would like to assure the readers that in the current year also the Vigilance Unit will come up with some exciting systemic improvement innovations in the working processes to make them more transparent. Vigilance Unit will also strive relentlessly to give better performance than before. I am sure the readers will continue to extend their full support to our efforts by giving their practical, pragmatic inputs and feedback.

**With Best Wishes**

**M.R. Krishna, IPS**  
Chief Vigilance Officer  
CPWD

To promote integrity, transparency and accountability in public life the Central Vigilance Commission organizes Vigilance Awareness Week every year as a very effective public outreach program. The Commission has decided that this year the Vigilance Awareness Week would be observed from 31<sup>st</sup> October, 2016 to 5<sup>th</sup> November, 2016 with the theme "Public participation in promoting integrity and eradicating Corruption". The Vigilance Unit of CPWD observed the Vigilance Awareness Week in a very big way with great enthusiasm.

A number of public outreach programs were organized during the week to enhance the awareness levels among all the stakeholders, CPWD Employees, Contractors, Suppliers and especially the General Public. The Vigilance week was commenced with the "Pledge" taking Ceremony on the first day of the Vigilance Awareness Week. This ceremony was organized in the open space outside the Ministry of Urban Development in the Nirman Bhawan Campus. The pledge was administered to all officers and staff of the Ministry of Urban Development and CPWD Directorate by the Hon'ble Minister for Urban Development Shri Venkaiah Naidu, in the presence of the Secretary MoUD, Shri Rajiv Gauba, IAS.



Hon'ble UDM Shri M. Venkaiah Naidu administering pledge to officers and staff under MoUD. Secretary, MoUD Shri Rajiv Gauba & other senior officers are seen taking pledge.

## PLEDGE

We, the public servants of India, do hereby solemnly pledge that we shall continuously strive to bring about integrity and transparency in all spheres of our activities. We also pledge that we shall work unstintingly for eradication of corruption in all spheres of life. We shall remain vigilant and work towards the growth and reputation of our organisation. Through our collective efforts, we shall bring pride to our organisations and provide value based service to our countrymen. We shall do our duty conscientiously and act without fear or favour.



## Display of Posters, Pamphlets and Banners in Hindi, English and Local Languages.



This year the Vigilance Unit had specially designed and printed some posters and these were distributed to all the field offices. The main theme of this year "Public participation in promoting Integrity and eradicating Corruption" is highlighted in these posters. All the field offices from Regional level to Divisional level actively participated with zeal and enthusiasm in the observance of the vigilance week. The banners and posters were prominently displayed at all the Office buildings, Govt. Residential Complexes and Service Centres. This wide publicity and active involvement of field officers in running the awareness campaigns helped in bridging the distance between the different stakeholders and enhanced their vigilance awareness levels.

To promote awareness among all stakeholders about the importance of Vigilance in all spheres, posters, pamphlets and banners were designed and printed by the Vigilance Unit and were distributed to all the field offices. The field officers were directed to display these banners and posters at all major and prominent locations in the field offices. Pamphlets were distributed to all employees of CPWD and also in public places especially in the Govt. residential colonies maintained by the CPWD. The details of action taken at various offices in this regard are as follows: 20 Banners were Displayed and 4000 posters were distributed at all the major and prominent locations i.e. entry gates, lobby areas at CPWD Head Quarters at Nirman Bhawan. Posters were distributed to other CPWD offices in New Delhi for display 430 pamphlets were distributed in R.K. Puram, CPWD office, 360 pamphlets were distributed in Vidyut Bhawan, Shankar Market, Connaught Place. In East Block R.K. Puram, 10 posters were displayed. In PNB Project Zone 53 banners were displayed, in Dev Nagar Project Zone 25 posters and 5 banners were displayed. 6 Banners and Posters were displayed at public places like hospitals and schools by PWD.

S. No.	CPWD Offices	Posters	Pamphlets	Banners
1	Ajmer	10	-	3
2	Bhopal	3	100	-
3	Bengaluru	-	-	10
4	Chandigarh	40	140	20
5	Chennai	-	150	14
6	Deharadun	-	175	6
7	Guwahati	20	100	7
8	Hyderabad	-	-	23
9	Jaipur	100	-	11
10	Jodhpur	9	-	9
11	Kanyakumari & Ooty	-	200	-
12	Kolkata	450	200	-
13	Madurai	-	-	16
14	Nagpur	3	100	-
15	Raipur	20	100	-
16	Trivandrum	-	-	32
17	PWD GNCTD	-	1500	250
18	Vijayawada	47	185	-

## Entries received in the Posters / Slogan's Competition conducted for the School Children



*If you are not part of the solution then you are the problem.*



## Essay writing competition on "Public Participation in Promoting Integrity and Eradicating Corruption" conducted in Kendriya Vidyalaya at Gole Market, New Delhi



The Vigilance Unit of CPWD made special efforts to reach out to common public and convey to them the importance of the main theme of the Vigilance Awareness Week. In an effort to promote and increase Vigilance awareness levels among the youth an essay writing competition was organized on the main theme "Public participation in promoting Integrity and eradicating Corruption" in Kendriya Vidyalaya, Gole Market, New Delhi. The students were divided into two groups with Class 9<sup>th</sup> to 12<sup>th</sup> were placed in the senior group and Class 6<sup>th</sup> to 8<sup>th</sup> were placed in the junior group. A large number of boys and girls participated in the essay writing competition. The essay topic for both the groups was "Public participation in promoting Integrity and eradicating Corruption" In addition to Essay writing competition this year additional competitions like Slogan writing, Poster designing were also organized on the theme of importance of vigilance in public life for the both groups of boys and girls. The Committee of teachers evaluated the entries and decided the prizes in each category of competition.

To mark the final day of the Vigilance Awareness Week, a function was organised in the School Campus in which CVO and other Senior officers from CPWD were present as guests. The CVO addressed the school children and emphasised the importance of inculcating the values of honesty and integrity in everyone's life from the early stages. Attractive prizes were distributed to the winners in the competition by CVO. The children took a pledge to follow the principles of honesty and integrity in their life and also to spread the message in the community.

### Essay Writing Competition

Pratibha (VI-D)	Shivani Kalyan (XII-A)	1 <sup>st</sup>
Ashtha Dwivedi (VII-C)	Kathakali Mitra (XI-B)	2 <sup>nd</sup>
Saloni Sagar (VII-E)	Tushaar Tanay (X-E)	3 <sup>rd</sup>

### Poster Making Competition

Rashi Singh (VII-A)	Swati Kumari (IX-A)	1 <sup>st</sup>
Ashtha Dwivedi (VII-C)	Ritesh (IX-D)	2 <sup>nd</sup>
Krish (VII-C)	Jainendra Singh (IX-A)	3 <sup>rd</sup>
Nandini Dasila (VII-A)	Karan Singh (IX-D)	4 <sup>th</sup>

### Slogan Writing Competition

Kangana Chillar (VIII-B)	Anuradha Kumari (IX-A)	1 <sup>st</sup>
Shivam Dabral (VI-D)	Pooja (X-A)	2 <sup>nd</sup>
Pratidipta (VIII-A)	Manas Kumar Manglam (XI-B)	3 <sup>rd</sup>
Ranya Kashyap (VIII-B)	Tushaar Tanay (X-E)	4 <sup>th</sup>

*Roots of education is bitter, but the fruit is sweet.*

*Aristotle*

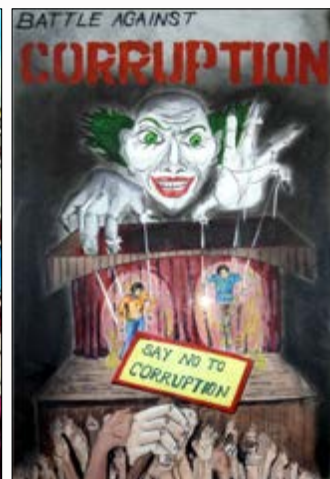


## Essay writing & Poster making competition for CPWD Employees and their Children

To ensure wider and active participation of the employees in the Vigilance activities, essay writing competition, poster making competition, slogan writing competition were conducted by the Vigilance Unit. These competitions were open to all the employees of CPWD and their dependent children. The main theme of the Vigilance Awareness Week "Public Participation in promoting Integrity and Eradicating Corruption" was made the topic for all these competitions. A large number of employees and their children participated in these competitions with zeal and enthusiasm. A Committee of SE's decided the prize winners in two separate categories one for the employees and the other for children. Prizes will be distributed to all the prizewinners in a ceremony to be conducted shortly.

Name of Prize winners	Designation/Relation	Position
<b>Essay Writing Competition</b>		
<b>Sri Anil Kumar Pandit</b>	CPM, IIT Mandi Project	I
<b>Smt. Shakuntala Sharma</b>	Office Superintendent	II
<b>Smt. Geetha A. S.</b>	AE(E), HQ	III
<b>Drawing/Painting Competition</b>		
<b>Ms. Rupama Pal</b>	D/o Shri Rajiv Pal, AE	I
<b>Ms. Bithi Saha</b>	D/o Shri Bhaskar Saha, OS	
<b>Shri Sajal Mitra</b>	Executive Engineer	
<b>Ms. Shristi Sutradhar</b>	D/o Shri Prabal Kumar Sutradhar, Steno Grade-I	II
<b>Ms. Adrita Sen</b>	D/o Shri Nepal Sen	III
<b>Slogan Writing Competition</b>		
<b>Shri Akhilesh Kumar</b>	Executive Engineer	I
<b>Shri Dharma Baghde</b>	Steno Grade-I	II
<b>Smt. Rashmi</b>	W/o Shri Parvinder Kumar Verma, Steno Grade-D	III
<b>Shri N. R. Raghuram</b>	UDC	

## Prize winning drawings / Paintings



## Competitions conducted by PWD, GNCTD for students

As a part of public outreach programme, PWD, GNCTD, Delhi has organized an Essay Writing Competition for children at three schools in Delhi area

- Guru Gobind Singh Senior Secondary School, Malviya Nagar (South Delhi),
- Guru Gobind Singh Senior Secondary School, Badarpur.
- SKV No. 3, Mandavali (East Delhi).

The students of above three schools participated in the Essay Writing Competitions in a large number with great enthusiasm. In these three schools about 300 students participated in these competitions and the prize winners were given appropriate prizes by the PWD, Delhi.





- In Dehradun, a talk on the problems of Corruption and Advantages of Honesty and Integrity was organized at ICA Institute and 39 students participated in it. A sensitization Program was held in Kendriya Vidyalaya on the issue "Public Participation in promoting Integrity and Eradicating Corruption".

- The CPWD, SZ-IV office conducted various competitions for boys and girls in Kendriya Vidyalaya, Madurai. These were debate competition, poster making competition and essay writing competition. About 150 students actively participated in these competitions. In the end the CE (SZ-IV) addressed all the students in the School and delivered lecture on the importance of preventive vigilance, public participation and principles of good governance. In a question answer session, the students were sensitized about adverse impact of corruption on the civil society and the importance of honesty and integrity in everybody's life.

- Hyderabad Zonal office, conducted competitions for students in Kendriya Vidyalaya, Warangal. About 120 students of senior classes participated in these competitions.

- In Kendriya Vidyalaya Sangathan, Vijayawada Essay Writing Competition was organized for the students and about 228 students participated in this competition. Lectures were given on "Professional Integrity and Moral Integrity", "Public Participation on promoting integrity and eradicating corruption", Preventive Vigilance, Common Irregularities, Precautions to avoid Arbitration, and Tender Scrutiny precautions".

- In Supreme Court Project Zone, Essay Writing Competition was conducted and 20 employees took part in it. Essay writing competitions were also organized in various divisions of CPWD located in places such as I.P. Estate, R.K. Puram and Pushp Vihar Delhi. A Debate Competition was conducted and 30 employees took part in it on the topic "Public Participation in Eradicating Corruption".

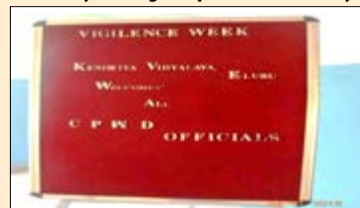
- In Mumbai an essay writing competition was organized and a number of employees actively took part in it. In Pratishtha Bhawan Mumbai "Posters cum Collage Competition" was organized on the subject "Eradication of Corruption" for CPWD staff.



Essay writing competition at Kendriya Vidyalaya Sangathan, Vijayawada on 05.11.16



Essay writing competition at Kendriya Vidyalaya Sangathan, Eluru on 04.11.16



Shri AJ Johnson, Assistant Director, SIB Vijayawada has given lecture on "Professional and Moral Integrity"



Shri BS Reddy, SE(E), Vijayawada has given lecture on "Preventive Vigilance."





- In Guwahati 2 Sessions were conducted on the issue "Public Participation on promoting integrity and eradication of corruption for CPWD staff.
- A workshop was organized at Nizam Palace, Kolkata for CPWD Staff.
- An interactive session with the Contractors Association was also held at Central University Project, Ajmer.
- An interactive session with Contractor's Association and CPWD Officials was convened in the Auditorium of Rajaji Bhawan, Chennai under Chairmanship of ADG (SR-I). Shri A.L. Lazaras, Deputy Superintendent of Police (Special Wing), CBI, Chennai was invited to address the gathering.



## VIGILANCE AWARENESS WEEK - 2016 AT PWD, GNCTD

A detailed interactive session on 3<sup>rd</sup> November 2016 was organised with PWD, GNCTD, by the Vigilance Unit in which the Engineer-in-Chief, ADG's, all Chief Engineers and SE's of PWD participated. The CVO, CPWD addressed the participants and explained in detail the importance of public participation in eradication of corruption in public life. The CVO also explained in detail about the new concept of "Integrity Pledge" and also the need to publicise this concept so that all stakeholders can participate in this national programme. The other Vigilance Officers spoke about the various aspects of Preventive Vigilance and Participative Vigilance and how to make them effective.

The Engineer-in-Chief, PWD explained in detail the various steps taken in PWD to publicise the theme of Vigilance Awareness Week "Public Participation in Promoting Integrity and Eradicating Corruption". He also motivated all officers of PWD to publicise the various measures taken by the department to implement preventive vigilance and to publicise the message of the Vigilance Commission widely in their jurisdictions. This year PWD also displayed attractive banners at various public places like Hospitals and Schools maintained by PWD, GNCTD.

The Engineer-in-Chief on behalf of the entire PWD Organization took the "Integrity Pledge as per the format on the CVC Website. The Integrity Pledge Certificate was handed over to Engineer-in-Chief Mr. Sarvagya Srivastava by the CVO.



*If a country is to be corruption free and become a nation of beautiful minds, I strongly feel there are three key societal members who can make a difference. They are the father, the mother and the teacher.*

*APJ Abdul Kalam*



## Interactive session with RWA's

A seminar cum interactive session was organized on 04.11.2016 with various Resident Welfare Associations of GPRA Quarters of Delhi. 11 Resident Welfare Associations from different GPRA's Executive Members and the representatives of RWA's attended this session. CPWD is responsible for maintenance of Govt. residential accommodation available in Delhi and in other cities. The CPWD staff who are responsible for maintaining these Govt. Colonies come regularly in contact with the residents of these colonies. During the seminar a detailed presentation was given to the participants regarding the scope and extent of CPWD maintenance works that are being undertaken in various colonies. The GPRA's raised many of their grievances which were effectively attended by the CPWD staff in the presence of CVO. All participants from the GPRA Associations also took "Integrity Pledge" in the presence of CVO. The CVO directed the CPWD staff present on this occasion to conduct such redressal meetings regularly in future also and have more interaction with RWA's members.



## Interactive session with Contractors Associations



A seminar cum interactive session on 04.11.2016 was conducted with all Contractor's Associations active in Delhi area. Contractors representing various categories from different associations participated in this program in a large number. The CVO, CPWD explained to the participants in detail about the relevance of Vigilance Awareness Week and the relevance of the main theme of this week for effective implementation of Vigilance activities. As a group Contractors form a very important group of clientele for the CPWD and all the projects are executed through the contractors. It is imperative and essential on the part of both the groups to maintain absolute integrity in their actions. The CVO explained to them that corruption is a two sided problem and action has to be initiated from both the sides to ensure honest and transparent transactions. He also spoke in detail about the Integrity Pact being executed in the CPWD Contracts and emphasized that every clause in the Integrity Pact is to be followed in letter and spirit. He also motivated the CPWD officers and staff present in this program to take pride in their jobs and work for enhancing the prestige and reputation of their department by discharging their duties honestly, impartially and transparently. In the question and answer session the contractors raised many issues and detailed clarifications were given to them. In the end CVO once again explained the importance of participation of all groups/staff holders in effective implementation of vigilance activities and importance of participative vigilance. The CVO, CPWD administered the "Integrity Pledge" to all CPWD officers and to all the contractors who were present in this program.

*The earth provides enough to  
satisfy every man's need,  
but not every man's greed.*  
**Mahatma Gandhi**



## Release of Vigilance Desktop Calendar 2017

To mark this occasion on 02.11.2016 Chief Guest Shri K.V. Chowdary, Central Vigilance Commissioner has released the Desktop calendar-2017 on the theme "Public participation in promoting Integrity and eradicating Corruption" prepared by Vigilance Unit of CPWD. This Desktop Calendar carries very important messages and highlights the importance of public participation in eradicating corruption and this calendar will be a source of inspiration to all employees of CPWD in the coming days. This calendar has been distributed to all CPWD Offices.



JS & CVO presented the vigilance desktop calendar to Hon'ble Urban Development Minister Shri Venkaiah Naidu on 6<sup>th</sup> January 2017. Hon'ble UDM congratulated JS & CVO on bringing out the desktop calendar. The desktop calendar has been distributed to officers in MoUD/CPWD.



The theme of Vigilance Awareness Week for the year 2016 "Public Participation in Promoting Integrity and Eradicating Corruption" has been highlighted in the calendar. The calendar has been designed depicting ill effects of corruption by posters and drawings. Quotes on corruption have also been included to motivate people throughout the year. The calendar will serve as a constant source of inspiration in the minds of people for eradicating corruption by Public Participation.

## Seminar Organised for CPWD Officers at Nirman Bhawan, New Delhi

On 2<sup>nd</sup> November 2016, a seminar-cum-interactive session on the main theme "Public participation in promoting Integrity and eradicating Corruption" was organized by the Vigilance Unit in the CPWD Directorate. Shri K.V. Chowdary, Central Vigilance Commissioner addressed the Seminar as Chief Guest and gave valuable insights into the vigilance issues and procedures. DG, CPWD and CVO, CPWD explained in detail various preventive measures and systematic improvements implemented in the Organization and also about various pro-active actions taken to strengthen the Vigilance outreach. This seminar was attended by DG, CPWD, Spl. DG, CPWD, E-in-C Delhi, all ADG's and Chief Engineers of CPWD and PWD Delhi. In the presence of the Chief Guest the DG, CPWD took the "Integrity Pledge" on behalf of the entire CPWD Organization. The "Pledge Certificate" was given by the Central Vigilance Commissioner to DG, CPWD. Shri Amulya Patnaik IPS, Special Commissioner Delhi Police, Shri Dharmendra IAS, JS & CVO, MoUD, Shri B. Anand IAS, JS {(Works) & Hriday} MoUD, attended the seminar as special guests and addressed the participants about various aspects of preventive and participative vigilance. The seminar was followed by an interactive session in which the speakers gave detailed clarifications on various aspects of vigilance.



*The world will not be destroyed by those who do evil, but by those who watch them without doing anything.*

*Albert Einstein*



## INTEGRITY PLEDGE



This year the Vigilance Commission has envisaged an innovative concept of "Integrity Pledge" to create greater awareness and participation of the public in the vigilance activities. This "Integrity Pledge" is to be taken by individual citizens as well as by Corporates, firms etc., to propagate Vigilance message in the general public. This concept was prominently publicised by displaying on the CPWD/PWD websites and a hyperlink was provided on these websites to enable wider participation in taking "Integrity Pledge" by the citizens and organizations. Vigilance Unit has also issued detailed instructions to all CPWD field offices to convey this message to all employees and also to all persons who come in contact with CPWD officers and staff. This message along with hyperlink was also displayed on the CPWD Sewa Kendra websites and at the same time wider publicity was given in all the Govt. residential colonies maintained by the CPWD. The various Contractor's and Vendor's Associations were also informed about these concepts and were encouraged to take the "Integrity Pledge".

On 2<sup>nd</sup> November, 2016, a Seminar cum Interactive Session for the officers of CPWD was organised in the Directorate, Nirman Bhawan, New Delhi. Shri. K. V. Chowdary, Central Vigilance Commissioner graced this occasion as "Chief Guest". Special invitees and senior officers from MoUD and all senior officers of CPWD were present in this function. DG CPWD, Shri Abhai Sinha in the presence of Central Vigilance Commissioner and all senior officers took the "Integrity Pledge" in the given format by the Central Vigilance Commission on the CVC Website. After taking the Pledge the "Integrity Pledge Certificate" was handed over to Shri Abhai Sinha, DG, CPWD by Shri K.V. Chowdary, Central Vigilance Commissioner.

The DG, CPWD on behalf of all employees of CPWD gave assurance that the Organisation will put in place safeguards, integrity frameworks and code of ethics to maintain the highest standards of integrity, transparency and good governance covering all aspects of its operations.



All senior officers of CPWD along with their supporting staff also took the "Integrity Pledge" at their individual level and received "Integrity Pledge Certificates". In the presence of CVO, all Vigilance Officers posted in Vigilance Unit of CPWD have also taken the "Integrity Pledge" and received the Certificates.

Apart from the Directorate all field officers were also requested to take the "Integrity Pledge" at their individual level and also motivate their family members and private persons in contact with them to participate in this national programme and take the "Integrity Pledge". This message was also spread to the lowest level in CPWD Organization. All employees of CPWD from all ranks participated in this Pledge taking programme with zeal and enthusiasm. The Unit in-Charge Officers of the field units were also encouraged to publicise this programme at their level in their local jurisdictions and encourage all stake holders to participate in this programme in a big way. This integrity pledge taking programme was widely publicised among the various Contractor's Associations, Vendor's Groups and Supplier's and also in the Govt. Colonies maintained by the CPWD/PWD. Feedback reports which were received from the field officers indicate that apart from the employees of CPWD, other stake holders and public persons have also participated in this "Integrity Pledge Programme" in a large number voluntarily making this programme a great success.

This Integrity Pledge Programme has a very positive impact in shaping the attitudes of the CPWD employees which will result in higher standards of honesty and integrity in their dealings.

*Join hands together and  
plan to end corruption.  
Be honest and raise voice  
against corruption.*



## News Coverage

Website : **Whispers-in-the-Corridors**



Central Public Works Department (CPWD) a principal engineering organisation of the Government of India is organising various public outreach programmes this year to observe the Vigilance Awareness Week from October 31 to November 5, 2016. As a part of observance of the Vigilance Awareness Week, the Vigilance Unit of CPWD has organised a seminar-cum-interactive session on the main theme 'Public Participation in Promoting Integrity and Eradicating Corruption' on 2.11.2016 in the CPWD Directorate, Nirman Bhawan, New Delhi. The Chief Vigilance Commissioner Sh. K V Chowdary graced this function as the Chief guest. The other special guests of the function were Sh. Dharmendra, IAS JS (Admn., L&E & CVO) MoUD, Sh. B Anand, IAS JS (Works & HRIDAY), MoUD and Sh. Amulya Patnaik, IPS Special Commissioner of Police (Vigilance), Delhi. All the guests were given a warm welcome by DG, CPWD Sh. Abhai Sinha and the CVO, CPWD Sh. M R Krishna, IPS. In the presence of the Chief Vigilance Commissioner, Sh. K V Chowdary, the DG, CPWD has taken the pledge for maintaining integrity and ethics in the organisation as per the format given by the CVC. Sh. K V Chowdary, Chief Vigilance Commissioner handed over the Pledge Certificate to the DG, CPWD. The CVO, CPWD Sh. M R Krishna, has explained in detail the various public outreach programmes being organised to propagate the main theme of the Vigilance Week throughout the country. In this function the Chief Vigilance Commissioner released the 'Vigilance Calendar' for the year 2017 prepared by the Vigilance Unit of CPWD. The Chief Guest has emphasised the importance of generation of awareness among the public as a more effective and sustainable means of eradicating corruption and to achieve a corruption free society. The interactive session was very informative as Sh. Chowdary gave detailed replies to the questions raised by the participants.

News Paper : **Thinamani**



News Paper : **Dinathanthi**



News Paper : **Rajasthan Patrika**





## Monthly Review Meetings by CVO

Under the leadership of the Chief Vigilance Officer a Special Campaign has been launched in the Vigilance Unit to dispose of all old pending vigilance cases. To ensure speedy investigations of vigilance cases, the CVO takes Monthly Review Meetings with all Vigilance Officers on a regular basis. All Superintending Engineers and Executive Engineers participate in the meetings with monthly progress reports.

In this monthly review meetings each vigilance case is discussed and after reviewing the progress necessary directions are issued to the vigilance officers regarding the further course of action to be taken in these cases. In these review meetings necessary guidance is also provided to the Vigilance Officers regarding how to conduct the vigilance investigations and how to collect relevant evidence. As a result the quality of investigation has improved tremendously. The time taken in collection of relevant records has been cut down drastically and the average time taken for completing investigation in a case which was earlier taking three to five years has been brought down to one year. Efforts are being made to further reduce the time taken in completing investigations. These relentless efforts resulted in disposal of all old complaints pending from the year 2002 to 2012. Goals set for the Executive Engineers in the previous meetings are reviewed by the CVO and new targets are set for them. Due to continuous review meetings, the pendency has been considerably reduced in the Vigilance Unit.



## Welfare activities for employees of Vigilance Unit



Retirement is a very important milestone in a Government servant's career. After a very long service of about 35 years and above when the employee retires from the department, to make his last day in service memorable and graceful, the CVO has started organising farewell functions in which the concerning employee along with his family members are invited. The concerning employee is facilitated by all officers of the Vigilance Unit and the achievements of the officer are highlighted. Such facilitation functions make the employees happy and motivated.

The following officers retired from the Vigilance Unit, during the years 2016-17. We wish them and their family members a happy, healthy and peaceful retired life.

- Shri. Govardhan Singh, Executive Engineer
- Shri O.N. Awasthi, Executive Engineer
- Shri. A.K. Jalsary, Assistant Engineer

*Corruption, the Greatest Single Bane of our Society Today.*



## CTE, Regular Inspections by Vigilance

As a part of strategy of implementation of effective preventive Vigilance in the organization, regular and surprise inspections are conducted in sensitive field offices and work sites in order to detect if there were any instances of corrupt or improper practices in execution of contracts, serious violations of Manual Provisions, gross or willful negligence, recklessness in decision making, exercise of discretion with malafide intentions and all such issues involving vigilance angle. Wherever such instances have come to light Vigilance Cases are registered.

To eliminate occurrence of various irregularities and malpractices that have come to notice as mentioned above, vigilance unit has started conducting CTE type inspections, regular and surprise inspections of field offices and work sites. A detailed inspection calendar has been prepared and field offices were informed about the inspection programme in advance. Surprise inspections are conducted when ever necessary based on specific informations and corrective actions are being taken immediately. The details of inspections and the deficiencies noticed are being uploaded on the CPWD website for the benefit of all field offices. During inspections special emphasis is focussed on the quality of works and the Vigilance Unit also ensures that material test reports are genuine and quality tests are conducted at regular intervals as specified in the CPWD Works Manual.

The details of inspections conducted by vigilance unit are as follows.

1. J.S. & C.V.O., inspected SPA Project site, Vijayawada.
2. Vigilance inspection of the works under U Division.
3. Inspection of work "C/o different buildings under phase-I for IIT Ropar at Ropar, Punjab".
4. Works under Jammu CED, CPWD, Jammu.
5. Inspection regarding irregularity in allotment of quarters at GPRA, Kanpur.
6. Poor quality of work in c/o boundary wall in CRPF Campus at Neemuch (M.P.).

## Inspections conducted at various work sites



*An error becomes a mistake only when you refuse to correct it.*



7. Inspection of work "C/o 500 bedded (G+7), boys hostel & 300 (G+3) bedded girls hostel, Central Institute of Plastic Engineering & Technology, Murthal (Sonapat), Haryana".
8. CTE inspection was done by JS & CVO with SE(V)-IV at Punjab National Bank at plot no. 4, Dwarka, New Delhi. PNB Project Zone.
9. CTE type inspection of C/o Income Tax Office Building at Vejalpur, Ahmedabad.
10. Inspection of works under Supreme Court Project Zone, CPWD.
11. Inspection of works under Gandhinagar CED, CPWD, Gandhinagar.
12. Inspection of various Projects works in NIT Jalandhar.
13. Inspection of various works of Hyderabad Central Division-II & III.
14. Inspection of various works of Hyderabad Central Elect. Division-II.
15. Inspection of Zonal office, NEZ-I, CPWD, Shillong.
16. Inspection of O/o the EE (E) , CED-XIII & EE (E), ECD-III , CPWD, New Delhi.
17. Inspection of Allahabad Central Division, Allahabad & Varanasi Project Division, CPWD, Varanasi.
18. Inspection of various works under Chennai Central Division- I & II, Chennai.
19. Surprise Inspection of work "C/o Married accommodation Project at Mamun Military Quarters (Double Storeyed) i/c internal (Civil & Elect.) Services at Bhaskar Colony" after case was referred by DG, CPWD.
20. Inspection of works under Faridabad Central Electrical Division.
21. Inspection of works under Ghaziabad Central Division.
22. Inspection of works under Hindon Project Division.
23. Inspection of works under Tilpat Project Division.
24. Inspection of works under Noida Central Division.
25. Inspection of Electrical works under Faridabad Central Division.
26. Inspection of Electrical works under Hindon Central Electrical Division.

## Inspections for the year 2017

1. Inspection of works under IIT Jodhpur Project Division
2. Inspection of works under Jaisalmer Central Division
3. Inspection of works at CPWD Neemuch , MP
4. Inspection of works at CPWD Nagpur, Maharashtra
5. Inspection of road constructed by CPWD under PMGSY in Darbhanga, Bihar



**The Following officers & staff joined the Vigilance Unit.  
We welcome the newly joined.**

- |  |  |
|--|--|
| 1. Ajay Kumar Agarwal, Supdt. Engineer (Civil)       | 9. Narender Singh, Asstt. Engineer (Civil)       |
| 2. Varun Kumar, Exec. Engineer (Civil)               | 10. Pradeep Kumar Joshi, Asstt. Engineer (Civil) |
| 3. Khajan Chandra Pant, Exec. Engineer (Civil)       | 11. Charanjit Kumar, Asstt. Engineer (Civil)     |
| 4. Gopal Singh Bisht, Exec. Engineer (Civil)         | 12. Arvind Kumar, Asstt. Engineer (Civil)        |
| 5. Anil Kumar Jain, Exec. Engineer (Elect.)          | 13. Amar Kumar Pramanik, Asstt. Engineer (Civil) |
| 6. Baboolal Suthar, Exec. Engineer (Civil)           | 14. Abid Husain, AD (Hort)                       |
| 7. Dineshwar Gaur, Exec. Engineer (Civil)            | 15. Rajneesh Gulati, Upper Division Clerk        |
| 8. Yogesh Chandra Srivastava, Asst. Engineer (Civil) | 16. Satish Chandra Paliwal, Asst. Engineer (E)   |



Apart from Vigilance awareness campaigns emphasis is also placed on conducting training programmes for the employees of CPWD and special training programmes for the officers posted in the Vigilance Unit so that all officers are made aware of the Conduct Rules, CCS (CCA) disciplinary rules, latest circulars and directions issued by the CVC, DoPT and MoUD. These training programmes are designed in such a way to train the officers and employees of CPWD which ensure that they do not violate the code of conduct or other disciplinary rules and regulations are not violated in their day to day working. Vigilance Unit has conducted 6 training programmes during the year 2016 & one training program so far during this year on the basic issues of vigilance and the correct vigilance procedures for the benefit of the employee. More such training programmes will be organised at the Head Quarters and also at the regional level.

- A training program for Deputy CVOs from various regions under CPWD was conducted on 15<sup>th</sup> February 2016. The program was inaugurated by Shri Divakar Garg, DG, CPWD. Shri M.R. Krishna, CVO briefed the Deputy CVOs about the nature of duties, responsibilities and procedures to be followed while investigating vigilance cases.
- Vigilance Unit, CPWD organised a training program on Application of VIGMIS software for updating the vigilance records for all Executive Engineers and Asst. Engineers posted in the Vigilance Unit on 17<sup>th</sup> February, 2016.
- Vigilance Unit, CPWD organised a training program for all Executive Engineers' and Assistant Engineers' posted in the Vigilance Unit on 7<sup>th</sup> April 2016. This training program was designed primarily for Executive Engineers and Assistant Engineers to make them aware and update their knowledge about relevant rules, guidelines and procedures that are essentially required for proper investigation of allegations made in a complaint.
- A training program on Preventive Vigilance in construction & maintenance works was conducted on 29<sup>th</sup> & 30<sup>th</sup> August 2016 by National CPWD Academy, Ghaziabad, UP. In the program CVO, CPWD elaborated on various aspects of Vigilance and about the need of Preventive Vigilance to improve transparency and efficiency in working of CPWD.
- Half day seminar-cum-interactive session was organised by CVO, CPWD at Vijayawada, Andhra Pradesh for officers of South Zone on 15.07.2016. In the seminar, CVO emphasized the importance of vigilance with focus on preventive vigilance.
- Eighteen AEEs in five groups were given training on vigilance related matters from 11<sup>th</sup> April to 6<sup>th</sup> May 2016 as a part of foundation training program.
- On 10<sup>th</sup> March, 2017, a training program with demonstration was conducted at DG Conference Hall where officers & staff of Vigilance Unit were apprised about use of e-office. Shri Abhai Sinha, DG, CPWD inaugurated the program and appreciated the efforts of Vigilance Unit for faster implementation of e-office.

**Shri Abhai Sinha, DG, CPWD inaugurated training program on e-office.**



**Shri M R Krishna, CVO, CPWD addressed CPWD officers at Vijayawada on vigilance**



**Shri Diwakar Garg, DG, CPWD inaugurated training program for Dy. CVOs on 15.02.16**





## CASE STUDIES

### CASE STUDY – 1



**Sanjay Gupta**  
SE (VIG) II

#### **Topic: Irregularities in the execution of work of Boundary Wall.**

A complaint made by the client department to the Chief Technical Examiner organization (CTEO) of Central Vigilance Commission (CVC) regarding collapse/tilting of security wall with sentry posts at many places at their campus in J&K, was forwarded to CPWD by the CVC for investigation and fixing up the responsibility. The complaint was mainly related to poor workmanship, use of substandard materials and payment on false measurements (construction not carried out as per structural drawings). The expenditure incurred on the wall was Rs. 1.28 crore and on reconstruction of damaged wall, it was Rs 20 lakhs.

The boundary wall consists of RR masonry in cement mortar 1:6 in foundation and upto 2' above Ground Level and with brick masonry in cement mortar 1:6, in superstructure with brick pillars at interval of every three meters.

The work was inspected by quality assurance unit of ADG and they observed that "Design of wall adopted for original construction issued by competent authority may not be ideal for specific requirement of nature of soil but same is safe and may not be the cause of failure as portion of wall reconstructed with the same old design during 2005-2006 is intact" and "boundary wall is primarily tilting/collapsing because of poor quality of construction as well as not providing the foundation width as per standard design. Had the proper foundation section as per design been provided, the boundary wall would have withstood the stresses, if any, caused by expansion of clayed nature of soil as well as ingress of rain water along the wall. Based on these observations, ADG in his report has specifically mentioned that wall has undergone distress and tilted/collapsed, primarily because of deficiency in quality of mortar and poor workmanship was observed and at places the foundation width as provided was found less than specified in the structural drawing.

During the investigation of the complaint by Vigilance Unit of CPWD, the work was inspected by a team comprising EE and AE from Vigilance Unit of CPWD. Also, samples of mortar used in brick masonry and R.R. Stone masonry were collected and sealed by the team. The sealed samples were sent to the laboratory of repute for testing who submitted their reports wherein the ratio of cement and fine aggregate was observed varying from 1:7.5 to 1:31.5 against normal prescribed ratio of 1:6.

As per CPWD works manual, the role and responsibility of various officers for effective execution of work is as under:

S. No	Item of Work	Responsibility for quality of works costing above Rs.30 lacs.
1	Foundation and Plinth	AE & EE
2	Brick masonry/stone	JE & AE
3	Plastering	JE & AE
4	General quality of work with particular reference to line & levels/adherence to drawings specifications & functionality	EE & SE

During the execution of work, the work was inspected by the Assistant Engineer (Quality Control) of circle. Though the observations for the poor quality of work had been made in the inspection report but no action on the same was taken by the Superintending Engineer. The client department has also complained for poor quality work to concerned SE but no corrective action has been taken by him. Superintending Engineer had failed to fulfill his obligation as prescribed in CPWD Works Manual with regard to quality assurance in the work with adverse financial implications.

The Field staff JE, AE and EE were found squarely responsible for the inferior quality of works.

Superintending Engineer being supervisory authority has also failed, to take all possible steps to ensure integrity and devotion to duty by his subordinate staff under his authority and control for the time being and who are directly responsible for ensuring proper quality of the work, by not taking any action against them under Rule 3(2) (i) of the Central Civil Services (Conduct) Rules, 1964.

On the advice of CVC, Major Penalty Disciplinary proceedings under Rule 14 has been initiated against JE, AE, EE and SE.

#### **Lesson to Learn:**

Role and responsibilities of officials defined in the CPWD work manual for proper execution of work should be ensured by them while supervising the work. Supervisory authority should also exercise the role as per CCS (Conduct) rule 1964.

*Corruption is Simply Crime Without Conscience.*



## CASE STUDY – 2



**A.K. Agarwal**  
SE (VIG) IV

**Topic: Payment of inadmissible Extra items due to inadequate study of agreement items and specifications - regarding.**

A complaint was received in the Vigilance Unit about upgradation work done by a maintenance division in Delhi, in which complainant had alleged that an extra item had been sanctioned and paid in various agreements whereas the same was well covered in the agreement item itself and thus, was not payable. During the investigation of the complaint regarding irregularities in sanctioning of extra item and payment thereof, it has been observed that:-

- Following 3 items have been sanctioned in the shape of extra items in 9 agreements.
- i. Providing & fixing brass female socket 20x15mm including threading the pipe and making the screws etc. complete (new work).
- ii. Providing & fixing brass male socket 20x15 mm including threading the pipe and making the screws etc. complete (new work).
- iii. Providing & fixing reducing brass elbow 20x15 mm including threading the pipe and making the screws etc. complete (new work).

The above 3 items have been paid as an extra item in various works under 9 agreements. However, the same is not payable as per the CPWD Specification Vol, II Para 18.9.11 i.e. mode of measurement of CPVC Pipes, which is mentioned as below-

**“ The Net length of pipes as laid on fixed shall be measured in running meters correct to a cm for the finished work, which shall include CPVC pipe and fittings including plain and Brass threaded fittings and joining solvent cement.”**

It may be noted that:-

- iv. The Extra items sanctioned is for fully brass fitting not brass threaded CPVC fittings which were used in the execution of the works.
- v. The Items executed at different sites under different agreements was brass threaded CPVC fitting, which is different from 3 extra-items sanctioned as above (new work).

However, as stated above, the items executed at site & payment made is for brass threaded CPVC fitting instead of full brass fittings (as sanctioned) which violates Para 5.2.3 (1) and 7.10.1(1) of CPWD Works Manual 2012.

During investigation, it has been noticed that field staff has not co-related the nomenclature of agreement items with relevant specification. The extra items were initiated for fully brass fittings, processed, scrutinized, and sanctioned by the competent authority without referring relevant specification. The execution was carried out as per agreement provision whereas payment was made as per sanctioned extra items. Meaning thereby that there has been misconception between agreement item and extra item. Thus, the role of field staff including AE (P) of the Division for initiation, processing, scrutinisation and sanctioning of extra item, measurement done, bills prepared, passed and paid is found to be grossly negligent. Subsequently, during vigilance investigation this inadmissible payment has been recovered from the concerned contractor after finalization of bills, which does not mitigate the irregularity that has been committed by the concerned officials.

Major Penalty Disciplinary proceedings under Rule 14 have been initiated against JEs, AEs, and AE (P) of the Division.

**Lesson to Learn:**

The agreement provisions and relevant specifications are required to be properly studied and correlated with before initiation and subsequent payment of extra items.

*Our greatest weakness lies  
in giving up. The most certain way  
to succeed is always to try just  
one more time.*

*Thomas A. Edison*



### CASE STUDY - 3



**Vikas Rana**

SE (VIG) IIII

**Topic : Award of work to ineligible contractor.**

A complaint was received in the Vigilance Unit alleging that the tender for "Operation & Maintenance of Air-Conditioning Plant" work has been awarded to an ineligible firm.

Vigilance Unit conducted a detailed investigation about the allegations made in the complaint.

NIT amounting to Rs. 65 lakh (approx.) was issued by SE(E), Northern Region. E-tender was invited for "Operation & Maintenance of Air-Conditioning Plant" from annually pre-qualified firms for HVAC works in CPWD under appropriate group or composite category in CPWD. Out of 2 firms that participated, one of the firms was annually pre-qualified in Group "B" in Western Region whereas NIT eligibility requirement was of enlistment/APQ in Northern Region. EE(E) opened the price bid of the firm without verifying the eligibility credentials of the firm. Thus, the tender of an ineligible firm was opened which happened to be lowest (L1). The difference in tender cost between L1 & L2 was more than Rs. 10 Lakh. The ineligible tenderer (L1) has also not deposited earnest money in physical form within one week of opening of the tender. EMD was deposited after the expiry of stipulated period. Thus, the tender of L1 had become invalid as per the conditions of NIT.

As the NIT was issued by SE(E), EE(E) forwarded the tender to SE(E) stating that tender of ineligible firm was opened by him inadvertently and thus tender may be rejected and recalled. Instead of rejecting the tender, SE(E) forwarded the case to CE, seeking guidance. CE has consented to award the work and acceptance of tender was conveyed to SE(E) by EE(P) of Zonal Office. While conveying the acceptance of tender of ineligible firm, it was also directed to issue caution to EE(E) to be careful in future while opening the tender. Accordingly, work was awarded to an ineligible firm and caution was issued to EE(E).

Detailed investigation was conducted by Vigilance Unit and it was found that EE(E) should have verified the credentials of the firm before opening of tender. While forwarding the tender, EE(E) had specifically brought out mistake and recommended for recall of tender. SE(E) should have decided to recall the tender as it was within his competency. CE should not have consented for award of work to an ineligible firm. EE(P) of Zonal Office & Circle Office should have processed the tender properly and brought out the fact regarding ineligibility of firm & non-deposit of EMD within stipulated period. Final Investigation report was sent to CVC. The CVC has given first stage advice for initiation of minor penalty

proceedings against the CE, SE(E) and EE(E) P. EE(E) has since been retired, hence no action could be taken. The disciplinary proceedings in the matter are under way.

**Lesson to learn:**

To be L1 is not the only criteria for award of work. The firm should be eligible and fulfill all conditions of NIT to tender for the work and bid should be valid on the date of acceptance.

### CASE STUDY - 4



**Umesh Bansal**

SE (VIG) I

**Topic: Inordinate Delay by the Disciplinary Authority**

'A' being Disciplinary Authority had issued Charge sheet to 'B' for remaining continuously absent from duty without intimation w.e.f. 08.09.2000 to 06.11.2008 on dated 15.11.2011. Enquiry was held and the charge was proved on dated 04.04.2012 and found that 'B' remained wilfully absent from Govt. duties. Disciplinary Authority sent Inquiry Report on 05.03.2015 to 'B' for submitting his representation. Subsequently, Disciplinary Authority had given two more opportunities to 'B' to represent himself vide letters dated 08.05.2015 and 29.07.2015 but 'B' did not respond. Meanwhile Disciplinary Authority initiated correspondence with other offices for clarifications on the absence of 'B' for the period from 22.08.2000 to 07.09.2000 and from 07.11.2008 to 30.01.2009 vide their letters dated 20.10.2016 and 29.11.2016.

The Inquiry of the subject matter which has been initiated in the year 2011 is still pending due to following reasons.

1. Disciplinary Authority after receipt of Inquiry Report on 04.04.2012 has failed to seek timely explanation/clarification from the charged officer 'B' which has been done only in the year 2015 after a lapse of three years.
2. After non-receipt of representation from the charged officer 'B', the Disciplinary Authority has failed to issue final/penalty orders Ex-parte.
3. The Disciplinary Authority has wasted unnecessary time in correspondence with other offices for the absence period of charged officer 'B' other than the proven period in the charge sheet.

Inordinate delay in correspondence to unrelated issues resulted into no action on the Charged Officer 'B' for wilful absence and subsequent indirect loss to the Government due to continuance of the service of 'B' till date without any action/penalty.

**Lessons to learn:**

Close monitoring and timely action on post inquiry activity is required to avoid delay in concluding disciplinary proceedings.



## CASE STUDY - 5

### Umesh Bansal

SE (VIG) I

#### Topic- Unfair practices adopted in execution of works

The tendered cost of a work 'A' was Rs. 166.67 lacs. After completion, the overall expenditure done against this agreement was Rs. 205.70 lacs. Thus, there was a deviation of nearly Rs. 39 lacs in this work. Further, the additional works related with this work 'A' were split and carried out through 9 No. additional tenders and 1 No. work order. Total expenditure on above 9 No. agreements and 1 No. work order was Rs. 83.46 lacs. This expenditure of Rs. 83.46 lacs has been charged for the sanction of other two works 'B' and 'C'. No approval for carrying out the additional works related to work 'A' by charging the expenditure to the works 'B' and 'C' has been taken by the concerned EE.

As per provision of Rule 26(ii) of General Financial Rules 2005, the duty and responsibility of a controlling officer in respect of funds placed at his disposal are to ensure that the expenditure is incurred for the purpose for which funds have been provided. In this case, the EE has violated the above rule by misusing and misappropriating the funds allotted for a work to other works.

Further, regarding wide publicity of tenders, the Manual provision states that in respect of works estimated to cost more than Rs. 5 lakhs, a brief advertisement inviting tenders should invariably be inserted in the press.

In this case, the estimated cost of each of nine additional works executed through tender was kept just below Rs. 5 lacs. It is seen that notice for none of the works has been posted on the CPWD website and thus adequate publicity was not given and competition was restricted. Also, the estimated cost of all the 9 tenders was kept just below Rs. 5 lacs deliberately by the EE to avoid wide publicity through press. The opening dates of tenders were staggered at small intervals. Thus, the EE violated Manual provisions by avoiding the web publicity and used the mala fide tactics of keeping the estimated cost just below Rs. 5 lacs to avoid the publicity through newspapers to keep less competition. Thus, unfair practices were adopted by the EE to give undue favour to some agencies. In view of the lapses committed by the EE, the CVC has advised for initiation of Major Penalty proceedings against the Concerned EE. The disciplinary proceedings in the matter are underway.

#### Lessons to learn –

1. The additional items/works cropped up during execution of work (falling within the scope of work) are to be carried out through running agreement if the completion cost remains within sanctioned amount otherwise a separate sanction for additional works is to be taken.
2. Additional works are never to be carried out by charging the expenditure to other works unless prior approval of competent authority has been taken
3. To avoid approvals and publicity through press, works should not be split into several small works.

## CASE STUDY - 6

### Umesh Bansal

SE (VIG) I

#### Topic: Forcing the department for huge deviation at higher costs by avoiding call of tenders.

A consolidated sanction of Rs. 361.16 lakhs was issued for work 'A' consisting of five buildings. Drawings of four buildings were approved by client on 19.07.2010 initially named as work 'B' for which tenders were called and received on 30.08.2010. The tender was accepted by SE on 06.10.2010. Drawing of remaining work named as 'C' was approved by client on 17.08.2010. NIT of work 'C' (estimated cost 67.16 lakhs) was sent to EE by SE for call of tenders on 08.09.2010. The EE intentionally did not call the tender of work 'C' in spite of having NIT with him. The SE reminded EE on 02.12.2010 to call the tender of work 'C'. The time stipulated in the NIT of work 'C' was twelve months; even then tenders were not invited and subsequently the work 'C' was got executed in deviation under work 'B'. The reason for taking the work 'C' in deviation (costing Rs. 83.35 lakhs) of work 'B' is recorded on file that CE during his visit to site decided for deviation which was later denied by CE when asked from him. EE forced the department for execution of work 'C' in the deviation of existing work 'B' and avoided competition by not inviting tender. The time stipulated in NIT of work 'C' was twelve months and tender could have been called easily as there was no urgency for getting execution of work in deviation.

#### Lessons to Learn:

1. NIT approving authority shall monitor & ensure timely call of tenders after issuing of NIT.
2. Verbal instructions given by senior officers, if any, shall be got confirmed in writing before implementing.
3. No shortcuts to be applied to avoid tendering process.

*Destroy the seed of evil, or it will grow up to your ruin.*



## Systemic Improvements Recommended by the Vigilance Unit

Vigilance Unit after conducting detailed investigations in important vigilance cases apart from recommending disciplinary actions against the charged officers also places a great emphasis on making recommendations for systemic improvements so that these recommendations will have a permanent effect in improving the working of the system and also will act as an effective preventive mechanism to prevent recurrence of such serious lapses in future.

Systemic improvements involve a deeper study of present processes and policies to identify the areas of weakness and ambiguity with the objective to initiate corrective measures to improve and strengthen the systems on a permanent basis and to make the systems more efficient, transparent and accountable.

Some of the major systemic improvements recommended and implemented by the Vigilance Unit are:-

1. To reduce the frequency of conducting various types' of tests for building materials which will result in substantial saving to public money and also precious time that is wasted in conducting redundant tests.
2. Issuing TDS certificates in respect of income tax and value added tax in a time bound manner to avoid unnecessary harassment to contractors.
3. Existing Procedure for approval of private testing labs for material testing was ambiguous resulting in a lot of complaints. After studying these complaints Vigilance unit has suggested corrective measures which resulted in the revision of the Manual provisions.
4. In order to bring transparency in the procurements, recommended implementation of 'e-procurement' in place of manual provisions for supply of materials. Also recommended for clear declaration of financial powers at various levels. Suggested improvements to bring clarity in the entire procurement procedure.
5. Recommended for systemic improvements in the Consultancy agreements for composite works to bring in clarity of procedures for making fee payments.
6. Major workload of Horticulture wing is about maintaining the gardens, lawns, hedges, shrubs etc. and these items are being operated as a non-schedule item in all the horticulture units throughout the country. Vigilance has recommended to incorporate these items in the schedule of works for the horticulture works so that uniformity is maintained throughout the country.
7. Recommended for taking clear policy decision regarding allowing of revocation of already determined contracts to remove the ambiguities.
8. Senior supervisory officers of the rank of SEs, CEs and ADGs were asked to monitor timely finalization of final bills of the contractors. Strict timelines ensured.
9. Precautions to be taken for preparation of detailed estimates to avoid vagueness in the nomenclature of the items and to avoid disputes arising at a later stage.
10. A number of irregularities which occur at planning, tendering and execution stages in CPWD works were pointed out and remedies suggested.
11. Detailed recommendations were submitted for formulation of a clear and transparent Transfer/ Posting policy for all ranks of officers and timely rotation of officers from sensitive to non-sensitive postings.
12. Instructions issued for timely payment of bills of local bodies so as to avoid payment of surcharges.
13. Recommended improvements in the NITs pertaining to the horticulture works particularly regarding supply of flower pots and decorated plants. Suggested model NIT for horticulture works. Recommendations are also made for non-splitting of works and sanctions.
14. Recommendations to streamline the tendering procedure and to remove the deficiencies in the NIT of providing security guards and related works.
15. Instructions issued to send copies of all inspection reports to vigilance unit from the Quality Assurance Wing/CSQ Unit so that Vigilance Unit can thoroughly examine these reports from the vigilance angle.

*Character is best shown when nobody is watching.*



16. Recommended revision of Paras 4.1 and 4.2 of CPWD Manual to allow work sketch and drawings to be prepared by field staff in minor and miscellaneous works.
17. Streamlined procedure for removing construction waste material/dismantled material/old furniture/old packing materials from roofs of Government buildings.
18. Streamlined procedure for publicity of NIQ's while calling Quotations for award of work without the call of Tenders.
19. Recommendations for upkeep and maintenance of PIMS data and to remove deficiencies in the software and enhance security measures.
20. Recommended for making modifications in Para 24.1.2 of CPWD Manual to stipulate that completion cost of any agreement, special repair, addition/alteration shall not exceed 1.25 times of tendered amount in the maintenance works which effectively curbed the tendency earlier to exceed the tendered amount by many fold.
21. Recommendations to streamline the procedure and implementation of strict time lines for disposal of dismantled material, unserviceable stores and T&P items to avoid loss to the Govt. and to prevent accumulation of large quantities in the stores.
22. Strict guidelines were recommended to complete the codal formalities and technicalities to avoid cancellation of NIT's under external pressures. Guidelines to avoid inappropriate and frequent cancellation of tenders.
23. Preventive vigilance measures to prevent misuse of user's ID's and password to access personal or administrative data.
24. Recommendations for scrapping the present recruitment system and implementation of a transparent and objective oriented recruitment policy for recruitment of group C & D employees like Pump Operator, Khallasi, Beldar, Sweeper, Sewerman etc.,
25. Wider publicity of all NIQ's while calling quotations for award of work without call of tender. E-tendering procedure to be followed except in spot quotations.
26. Suggested improvements in the registration/ revalidation process of contractor's enlistment process.
27. Clarifications regarding authorised dealers of the manufacturers for the purpose of issuing quotations
28. Systemic improvements regarding mechanised cleaning of water storage tanks.
29. Recommendations regarding incorporation of suitable provisions in CPWD Works Manual for clubbing of various specialized electrical and mechanical services for invitation of tender.
30. Recommendations to consider all high rated items beyond 90% limit of the estimated amount while preparing justification/acceptance of tender.
31. Recommendations to adopt the correct procedure in granting final EOT by the competent authority to avoid adverse observations in arbitration proceedings and the wrong notions about provisional extensions.
32. Recommendations regarding precautions to be taken while sanctioning secured advance to contractors.
33. To promote more transparency recommended restrictions of employment of near relatives of the contractor, Para 16 of annexure 20A.12 of Manual enlarged to include all officers and staff of CPWD posted in the circle area.
34. Modifications recommended in the para 4.1.5 of Works Manual to clarify contingency expenditure.
35. Recommendations regarding evaluation criteria based on measurable parameters for use of sound barriers on flyovers.

Efforts are being made by the Vigilance Unit in CPWD on a continuous basis with special emphasis on preventive and participative vigilance to develop and sustain a culture of honesty and integrity in the Department which will result in greater transparency and accountability in all decision making processes at all levels. Vigilance measures help the management to get optimum results from the different activities by enhancing efficiency and productivity.'

*Anti corruption starts from you. Be honest and fight corruption.*

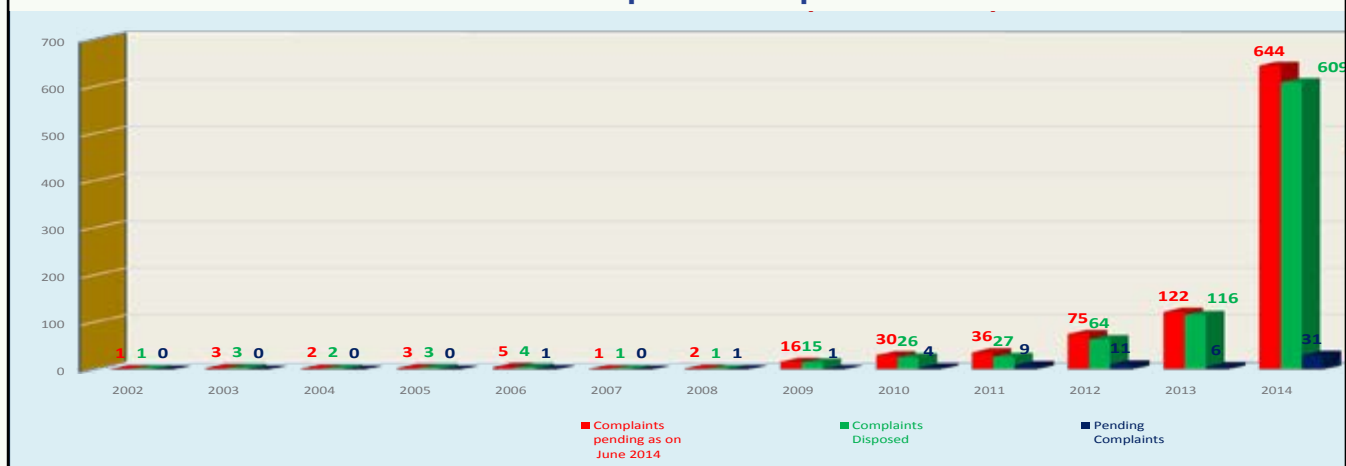


## PERFORMANCE CHART OF VIGILANCE UNIT

### Investigations Completed in Vigilance Complaints. 1578 complaints disposed during the period June 2014 to March 2017

Parameters	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Complaints pending as on June 2014	1	3	2	3	5	1	2	16	30	36	75	122	377	N.A.	N.A.	N.A.
Complaint received after June 2014	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	N.A.	267	464	423	82
Complaints Disposed	1	3	2	3	4	1	1	15	26	27	64	116	609	386	302	25
Pending Complaints	0	0	0	0	1	0	1	1	4	9	11	6	31	78	121	57

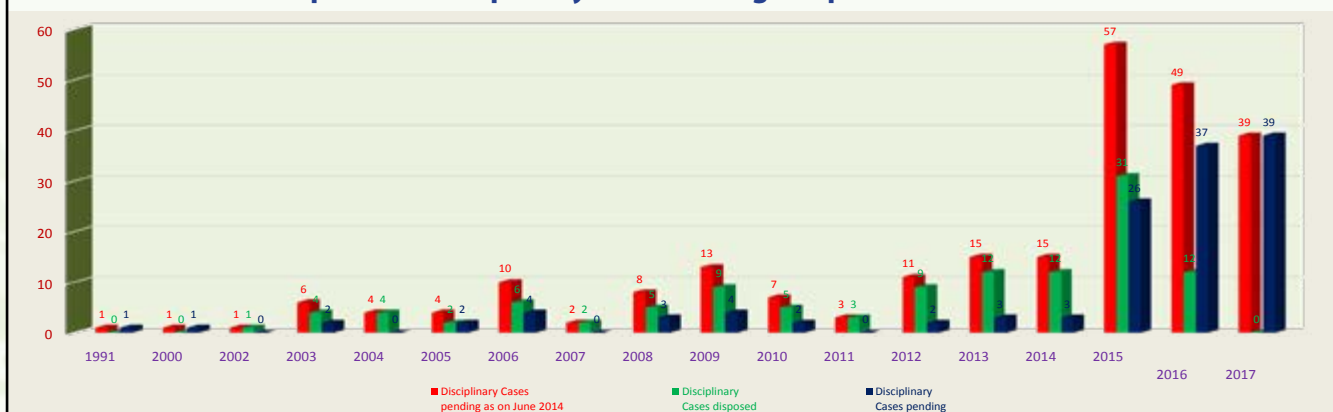
### Yearwise disposal of complaints



### Yearwise final disposal of Disciplinary Cases during the period June 2014 to March 2017

Parameters	1991	2000	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
Disciplinary Cases pending as on June 2014	1	1	1	6	4	4	10	2	8	13	7	3	11	15	15	57	49	39
Disciplinary Cases disposed	0	0	1	4	4	2	6	2	5	9	5	3	9	12	12	31	12	0
Disciplinary Cases pending	1	1	0	2	0	2	4	0	3	4	2	0	2	3	3	26	37	39

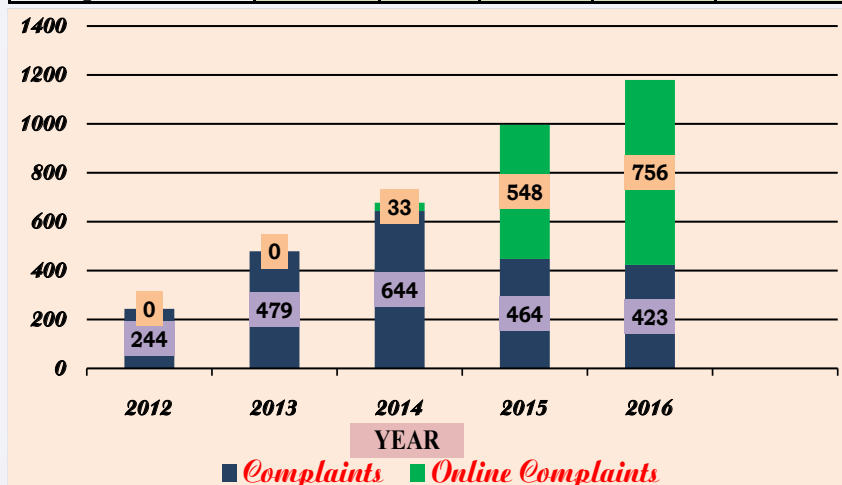
### Yearwise final disposal of Disciplinary Cases during the period June 2014 to March 2017



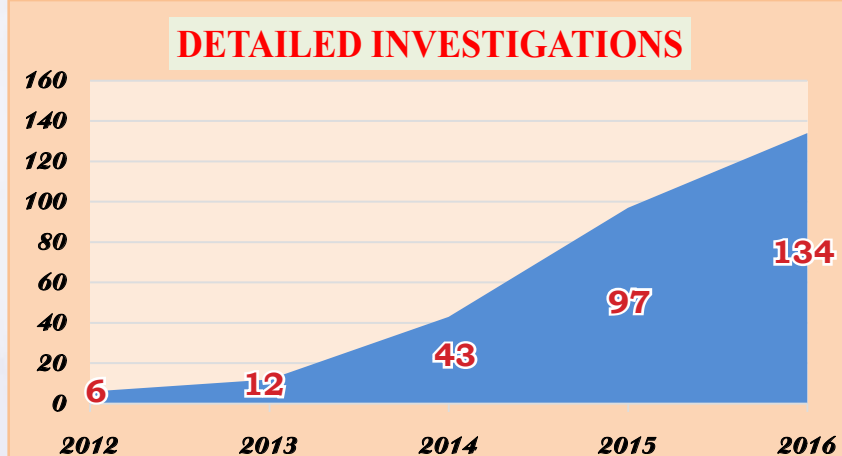


## PERFORMANCE MILE STONES FOR 2016 & 2017 MARCH

Nomenclature	YEAR				
	2012	2013	2014	2015	2016
Complaints	244	479	644	464	423
Online Complaints	N.A.	N.A.	33	548	756



Year	2012	2013	2014	2015	2016
Detailed Investigations	6	12	43	97	134



*The earth is supported by the power of truth.  
It is the power of truth that makes  
the sun shine and winds blow. Indeed  
all things rest upon truth.*

*Chanakya*

SUBJECT	2016	2017 (March)
Complaints Received	423	82
Pending Complaints as on 31 <sup>st</sup> December of 2015 & 2016	439	365
Total Complaints	862	447
Complaints Disposed	497	130
Taken up for detailed investigation	134	28
Detailed investigations completed	79	22
Major Penalty Chargesheets issued	22	24
Minor Penalty Chargesheets issued	25	15
Caution memos issued	13	8
Placed under suspension	2	Nil
CBI/ACB cases registered	14	1
Number of officers prosecution sanction issued	1(AE)	Nil
Number of officers dismissed from service	1(SE)	Nil
Orders issued in major penalty cases	15	3
Orders issued in minor penalty cases	25	6
VCC issued for gazetted officers	4907	1547
VCC issued for non-gazetted officers	3184	2266
Systemic improvements recommended	11	5
Regular inspections conducted	26	5
CTE type inspections conducted	3	1
Surprise inspections	1	Nil
Training programmes conducted	6	1
Online complaints received	756	173
Online complaints disposed	512	50

**Keep yourself well informed, choose assignments judiciously**

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